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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/811,862	03/30/2004	Naotoshi Shirayanagi	Q80693	2473

23373 7590 08/10/2006  
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EXAMINER

SWINEHART, EDWIN L

ART UNIT	PAPER NUMBER
3617	

DATE MAILED: 08/10/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No.	Applicant(s)	
	10/811,862	SHIRAYANAGI, NAOTOSHI	
	Examiner	Art Unit	
	Ed Swinehart	3617	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE \_\_\_\_\_ MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 28 July 2006.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1 and 3-11 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3-7,9 and 10 is/are rejected.
- 7) ☒ Claim(s) 8 and 11 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### DETAILED ACTION

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 7/28/2006 has been entered.

2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

3. Claim 5 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 5 is not understood. Specifically the relationship between the first and second transponder, with that originally set forth is unclear. Furthermore, such would improperly limit the claim from which it depends in removing/replacing an element previously recited.

4. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double

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patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

5. Claim 1 is provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1 and 2 of copending Application No. 10/795,446. Although the conflicting claims are not identical, they are not patentably distinct from each other because all of the limitation of claim 1 are contained within claims 1 and 2 of the copending application.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 1,3,4,6,7,9,10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Takashima in view of Watanuki.

Takashima discloses the claimed invention, with exception of detachment of the transponder from the plate. Re "provided separately", such fails to define any specific structure and/or arrangement so as to define over Takashima, as the transponder **58** is inherently constructed separately from the lock plate, and later joined thereto, and therefore such transponder has been "provided separately" as claimed.

Watanuki shows a lock plate (key) with an embedded transponder. An access door 9 is provided, rendering the transponder removable from the plate.

It would have been obvious to one of ordinary skill in the art at the time of the invention to provide for the removability of the transponder of Takashima as taught by Watanuki.

Such a combination would have been desirable at the time the invention was made so as to provide for ease in assembly and/or repair.

Re claim 6, "beginner" and "experienced" fails to define any specific structure and/or arrangement so as to define over the engine not running and running states.

Re claims 3 and 7, such fails to define any specific structure and/or arrangement so as to define over provision of the hole for insertion of the transponder and door on the side of the plate.

Re claim 9, such fails to define any specific structure and/or arrangement so as to define over any element.

8. Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over Takashima in view of Watanuki as applied to claim 4 above, and further in view of Guba.

Takashima fails to disclose the changing of transponders to achieve change in performance.

Guba teaches provision of multiple transponders, each of which providing different engine performance.

It would have been obvious to one of ordinary skill in the art at the time of the invention to provide for usage of different transponders in the apparatus of Takashima as taught by Guba.

Such a combination would have been desirable at the time of the invention so as to provide limiting of performance when desired.

Re “operable to replace”, such requires that the device merely be capable of the claimed function. The claimed function has not been positively recited. Furthermore as claimed, such fails to define over the complete substitution of apparatus.

9. The Declaration under 37 CFR 1.132 filed 6/29/2006 is insufficient to overcome the rejection of claims 1,3-6,7,9 and 10 based upon Takashima and Watanuki as set forth in the last Office action because: Firstly such Declaration set forth mere opinion, and not fact. Secondly, the Declaration clearly sets forth that the transponder is in fact replaceable assuming a small amount of damage to the cap, which may require provision of a new cap. Since the Declaration sets forth the method of how the transponder can be removed from the key, it hardly provides facts that such a transponder is not removable.

10. Applicant's arguments filed 6/29/2006 have been fully considered but they are not persuasive.

Applicant argues that the cap **9** of Watanuki is not detachable. Applicant further states that the hooks **10a** of Watanuki must be inwardly deflected for the cap to be removed, but such inward deflection is impossible due to the presence of the lid portion.

In response, there is nothing in the disclosure of Watanuki which would suggest that removal of the cap is impossible. The lid of the cap cannot prevent prying of the cap to an open position. Furthermore, even if the hooks of the cap are damaged, thereby requiring a new cap thereafter, the transponder is still inherently removable, thereby allowing replacement of the transponder if desired.

Applicant argues that claim 5 is definite.

The examiner does not agree, as it is unclear the relationship of the transponder of claim 1 to those later set forth. It is improper to set forth an element in the claims, and later by limitation remove that element. A dependent claim cannot remove elements of the invention previously recited, therefore, the metes and bounds of claim 5 are unclear. Furthermore, it is unclear from the claim language that the transponders discussed in claim 5 are in fact the same one previously recited.

11. Claims 8 and 11 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

12. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

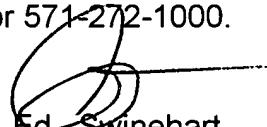
Bayron teaches engine performance management.

13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ed Swinehart whose telephone number is 571-272-6688. The examiner can normally be reached on Monday through Thursday 6:30 am to 2:00 pm..

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Samuel Morano can be reached on 571-272-6684. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



Ed Swinehart  
Primary Examiner  
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